

APPLICANT:

Akira Yamaguchi et al

SERIAL NO.

09/675,422

FILING DATE:

September 29, 1999

INVENTION:

"NONAQUEOUS ELECTROLYTE SECONDARY BATTERY"

UNITED STATES PATENT AND TRADEMARK OFFICE

OLD ATTORNEY DOCKET NO. P00,1409

NEW ATTORNEY DOCKET NO. 09792909-0431

GROUP ART UNIT: 1745

EXAMINER: Unknown

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

HON. ASSISTANT COMMISSIONER OF PATENTS

Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☒ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By David R. Metzger, Reg. 32,919

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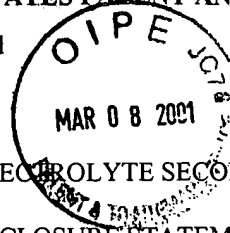
When phoning re this application,  
please call (312) 876-8000

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents at the United States Patent and Trademark Office in Washington D.C. on March 6, 2001.

Anna M. Franz

GAP-1745



EX. 9.  
3/13/01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): Akira Yamaguchi et al. DOCKET NO.: 09792909-0431  
OLD DOCKET NO. P00,1409  
SERIAL NO.: 09/675,422 GROUP ART UNIT: 1745  
DATE FILED: September 29, 1999 EXAMINER: Unknown  
INVENTION: "NONAQUEOUS ELECTROLYTE SECONDARY BATTERY"

Hon. Assistant Commissioner for Patents

Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

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MAR 12 2001  
TC 1700 MAIL ROOM

I. SUBMITTED UNITED STATES PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Patentee</u>	<u>Issue Date</u>
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II. SUBMITTED FOREIGN PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
AL	09027344	JP	January 28, 1997
AM	63264865	JP	November 1, 1988
AN	08180864	JP	July 12, 1996
AO	08287952	JP	November 1, 1996
AP	2000058066	JP	February 25, 2000
AQ	2000133267	JP	May 12, 2000
AR	0871233 A1	EP	October 14, 1998
AS	WO 99/00001	PCT	January 7, 1999

III. EXPLANATION OF RELEVANCE

References AL, AM , AN, AO, AP, AQ, AR and AS were cited in European Patent Office Search Report of January 30, 2001 in counterpart European Patent Application No. 00121433.7-2119. A copy of the Search Report is submitted herewith.

Submitted by,



(Reg. 32,919)

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